

9/12/06

? show files; ds; save temp; logoff hold

File 15:ABI/Inform(R) 1971-2006/Sep 11
(c) 2006 ProQuest Info&Learning

File 9:Business & Industry(R) Jul/1994-2006/Sep 11
(c) 2006 The Gale Group

File 275:Gale Group Computer DB(TM) 1983-2006/Sep 11
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File 621:Gale Group New Prod.Annou.(R) 1985-2006/Sep 11
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File 636:Gale Group Newsletter DB(TM) 1987-2006/Sep 11
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File 16:Gale Group PROMT(R) 1990-2006/Jun 12
(c) 2006 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group

File 148:Gale Group Trade & Industry DB 1976-2006/Sep 11
(c)2006 The Gale Group

File 610:Business Wire 1999-2006/Sep 12
(c) 2006 Business Wire.

File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire

File 476:Financial Times Fulltext 1982-2006/Sep 12
(c) 2006 Financial Times Ltd

File 624:McGraw-Hill Publications 1985-2006/Sep 11
(c) 2006 McGraw-Hill Co. Inc

File 634:San Jose Mercury Jun 1985-2006/Sep 10
(c) 2006 San Jose Mercury News

File 20:Dialog Global Reporter 1997-2006/Sep 12
(c) 2006 Dialog

Set	Items	Description
S1	9145027	(FUTURE OR NEXT OR TIME OR MONTH?? OR YEAR?? OR ANNUAL?? OR QUARTER?? OR PERIOD?? OR WEEK?? OR COMING OR EXTENDED OR LATER OR SUBSEQUENT??) (7N) (ASSET? ? OR SAVINGS OR PROPERT??? OR - EARNINGS OR INCOME OR RESOURCES OR CAPITAL OR MONEY? ? OR MON-IES OR DIVIDE
S2	2989493	S1(7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPEN-S??? OR PAY??? OR PAYMENT? ?)
S3	61614	S2(7N) (PREDICT??? OR ANTICIPAT??? OR FORECAST??? OR FORETEL-L??? OR INDICAT??? OR JUDG???)
S4	1756821	(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARG-E? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? - OR PAY??? OR PAYMENT? ?) (7N) (FUTURE? ? OR OPTION? ?)
S5	19554	SMOOTH??? (3N) OPERATION
S6	162763	STRIK??? (7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MON-IES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? OR PAY??? OR PAYMENT? ?)
S7	2230	AU=(COOPER, P? OR COOPER, P? OR COOPER, L? OR COOPER L? OR MYERS, S? OR MYERS S? OR FORNEY, G? OR FORNEY G? OR SCOTT, L? OR SCOTT L?)
S8	129	S7 AND S1
S9	50	S8 AND S2
S10	4	S9 AND S3
S11	3	RD (unique items)
S12	8757	S3(7N) S4
S13	0	S12(7N) S5
S14	2	S12(7N) S6
S15	2	S3(3N) S5
S16	2	S15 NOT (S14 OR S11)

S17	32	S3(7N)S6
S18	24	RD (unique items)

11/3,K/1 (Item 1 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01991147 48890292

Strategic marketing planning for radically new products

Cooper, Lee G

Journal of Marketing v64n1 PP: 1-16 Jan 2000
ISSN: 0022-2429 JRNL CODE: JMK
WORD COUNT: 9126

Cooper, Lee G

11/3,K/2 (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00808156 94-57548

A response to Creating a Government that Works Better and Costs Less

Myers, Sylvester C

Cost Engineering v36n1 PP: 13-14 Jan 1994
ISSN: 0274-9696 JRNL CODE: ACO
WORD COUNT: 1664

Myers, Sylvester C

...TEXT: WHAT WE DON'T NEED

Consolidating and cutting are absolutely necessary in order to save **money** and balance the budget in the **future** . As **indicated** by the National Performance Review, all agencies should be scrutinized for downsizing.

COLLECTING MORE

Giving...

11/3,K/3 (Item 3 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00644770 92-59710

The Information Content of Prices in Derivative Security Markets

Scott, Louis O.

International Monetary Fund Staff Papers v39n3 PP: 596-625 Sep 1992
ISSN: 0020-8027 JRNL CODE: IMF
WORD COUNT: 9504

Scott, Louis O.

...TEXT: kind of information is reflected in the prices of derivative securities?(1) Futures and forward **prices** are **prices** for **future** delivery of some specified **asset** . Do these **prices** reflect expectations of **future prices** on the **asset** ? Option **prices** depend on **future prices** and the potential variability of those **prices** . Do the option prices reflect expectations of the price and its potential volatility? In this...

...reflected in futures or forward prices are the same as those reflected in the spot **asset prices**. One cannot infer expected **future spot prices** from futures and forward **prices** without measuring the relevant risk premia. In Section II, which focuses on option prices, I...
...future spot prices.

I. FUTURES AND FORWARD MARKETS

Prices in futures and forward markets are **prices** for the **future** delivery of an **asset** or a **commodity**. There are active markets in futures contracts on foreign currencies, stock indices, long-term bonds... Ingersoll, and Ross priced futures and forwards by using arbitrage to convert futures and forward **prices** into prices of **assets**; they then applied a continuous- **time** valuation model.(7) Let $B_{t(t+s)}$ be the price of a default...

...that will pay the following cash flow at delivery:

(Equation 6 omitted)

In this continuous- **time** model, the **value** of an **asset** that has a single cash flow is equal ...utility of wealth. The model for the forward price becomes

(Equation 9 omitted)

The bond **price** is known at **time** t and it is also an **asset price** :

(Equation 10 omitted)

The model for the futures price is

(Equation 11 omitted)

As was...were cross-sectional regressions. They found that WISDs were better than past standard deviations as **predictors** of **future** volatility. Beckers used at-the- **money** ISDs, WISDs, and Black's volatility estimates, and found that Black's estimates were the...at the Philadelphia exchange since 1983. The implied volatilities have been calculated from at-the- **money** options that have three **months** to expiration. The volatilities are from the Black-Scholes model, modified for foreign currency options...
?

14/3,K/1 (Item 1 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

(c) 2006 ProQuest Info&Learning. All rts. reserv.

03118717 1122125661

Comparing option pricing models

Cretien, Paul D

Futures v35n11 PP: 38-41 Sep 2006

ISSN: 0746-2468 JRNL CODE: CMM

WORD COUNT: 1583

...TEXT: average model of futures and option price relationships. The LLP regression curve is able to **predict option prices** for an array of **strike prices** or **asset prices** at one **time** .

Predicted prices by either Black/Scholes or LLP should be useful throughout periods of days or weeks...

14/3,K/2 (Item 1 from file: 476)

DIALOG(R)File 476:Financial Times Fulltext

(c) 2006 Financial Times Ltd. All rts. reserv.

0003534486 B06JMBEACIFT

Ferry Strike Prompts Sea Containers To Suspend Dividends

KEVIN BROWN and CHARLER LEADBEATER, Transport Correspondents

Financial Times, P 20

Saturday, October 11, 1986

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 411

TEXT:

...Containers, the Bermuda-registered parent company of the strike-hit Sealink UK, is indefinitely suspending **quarterly dividend payments** in **anticipation** in part of the **cost** of the **strike** and **future redundancy payments** .

The company, the world's biggest lessor of containerships and associated equipment, will save about...

?

16/3,K/1 (Item 1 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2006 The Gale Group. All rts. reserv.

06437967 Supplier Number: 54989078 (USE FORMAT 7 FOR FULLTEXT)
Well diagnosis/control system cuts beam pumping costs.
McCoy, Jim; Coop, Edwards; Coop, Artie
World Oil, v220, n6, p52
June, 1999
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 1258

... Power costs are approximately \$108 per month, about half of the
earlier level (\$203 per month). With **smoother operation** and less
stress on **equipment** , lower maintenance **costs** also are **anticipated** .
Payout for the total well work is expected after about eight months.
ACKNOWLEDGMENT
Details of this...

16/3,K/2 (Item 1 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2006 The Gale Group. All rts. reserv.

11160828 SUPPLIER NUMBER: 54989078 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Well diagnosis/control system cuts beam pumping costs.
McCoy, Jim; Coop, Edwards; Coop, Artie
World Oil, 220, 6, 52
June, 1999
ISSN: 0043-8790 LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 1347 LINE COUNT: 00106

... Power costs are approximately \$108 per month, about half of the
earlier level (\$203 per month). With **smoother operation** and less
stress on **equipment** , lower maintenance **costs** also are **anticipated** .
Payout for the total well work is expected after about eight months.
ACKNOWLEDGMENT
Details of this....
?

? t/3,k/all

18/3,K/1 (Item 1 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

03118717 1122125661

Comparing option pricing models

Cretien, Paul D
Futures v35n11 PP: 38-41 Sep 2006
ISSN: 0746-2468 JRNL CODE: CMM
WORD COUNT: 1583

...TEXT: average model of futures and option price relationships. The LLP regression curve is able to **predict** option **prices** for an array of **strike prices** or **asset prices** at one time .

Predicted prices by either Black/Scholes or LLP should be useful throughout periods of days or weeks...

18/3,K/2 (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01325888 99-75284

Reliability-based maintenance requires mill culture change

Wheaton, Rick
Pulp & Paper v70n7 PP: 53-61 Jul 1996
ISSN: 0033-4081 JRNL CODE: PUP
WORD COUNT: 4102

...TEXT: the problem of initial commitment is to wait until a predictable catastrophic failure occurs, then **strike** . Arm yourself ahead of **time** with the **costs** of **equipment** and personnel requirements needed to initiate a **predictive** effort that eliminates catastrophic failure and associated costs. Often,

Figure 1 omitted

** (GRAPH OMITTED) *** one...

18/3,K/3 (Item 3 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00987160 96-36553

When is a tree a hedge?

Mezrich, Joseph J
Financial Analysts Journal v50n6 PP: 75-81 Nov/Dec 1994
ISSN: 0015-198X JRNL CODE: FIA
WORD COUNT: 2385

...TEXT: for the index buy-write.

Reading the tree from the top down, the first node **indicates** that always doing a buy-write loses **money** ; the average incremental **monthly** return

for a two- **strike** out-of-the- **money** OEX buy-write is -4 basis points a month. The first decision-tree split is...

18/3,K/4 (Item 4 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00511635 90-37392

Finding the Cheapest Catastrophe Cure

Bean, Nelson

National Underwriter (Property/Casualty/Employee Benefits) v94n33 PP:
9-10 Aug 13, 1990

ISSN: 0898-8897 JRNL CODE: NUN

...ABSTRACT: the fairest, least expensive claims settlements. Analysis of projected loss data from an actual catastrophe **indicates** that a high-speed response can save **money** by minimizing **time**-related business interruption **costs**. When any major catastrophe **strikes**, there are always uninsured losses, obvious and hidden, including lost market share, increased costs following...

18/3,K/5 (Item 1 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
(c) 2006 The Gale Group. All rts. reserv.

01455138 Supplier Number: 24138749 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Santos, other Brazilian ports sizzle

(Main Brazilian port of Santos will have moved around 38 mil tons of cargo in 1997, another record year)

Traffic World, v 253, n 1, p 27

January 05, 1998

DOCUMENT TYPE: Journal ISSN: 0041-073X (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 356

TEXT:

...for more than a week. The major carriers and shippers in the trade all lost **money** and **time** during that last **strike** -- and they all **predict** more strikes and other labor problems in the coming year because the ports are being...

18/3,K/6 (Item 1 from file: 621)
DIALOG(R)File 621:Gale Group New Prod.Annou.(R)
(c) 2006 The Gale Group. All rts. reserv.

04446194 Supplier Number: 138778360 (USE FORMAT 7 FOR FULLTEXT)

Schaeffer's Midday Options Update Features Tyco International, D.R. Horton, Daktronics, Boeing, and Network Appliance.

Business Wire, pNA

Nov 16, 2005

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 1469

... today, with more than 7,600 contracts crossing the tape. Open

interest at this front- month out-of-the- money strike currently stands at 6,476 contracts, indicating that there is a good chance that some of today's activity could be the...

18/3,K/7 (Item 2 from file: 621)

DIALOG(R)File 621:Gale Group New Prod.Annou.(R)

(c) 2006 The Gale Group. All rts. reserv.

03535954 Supplier Number: 108281330 (USE FORMAT 7 FOR FULLTEXT)

Schaeffer's Market Observation Features Walgreen Co.

Business Wire, p5773

Sept 29, 2003

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 707

... interest ratio (SOIR) on WAG stood at an annual low of 0.43. This sentiment indicator now stands in the 46th annual percentile at 0.66. The near-the- money 30 strike is the site of both peak calls and peak puts in the front-month series...

18/3,K/8 (Item 1 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2006 The Gale Group. All rts. reserv.

07896680 SUPPLIER NUMBER: 16952647 (USE FORMAT 7 OR 9 FOR FULL TEXT)

An exposure-based analysis of property-liability insurer stock values around Hurricane Andrew.

Lamb, Reinhold P.

Journal of Risk and Insurance, v62, n1, p111(13)

March, 1995

ISSN: 0022-4367

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 4267 LINE COUNT: 00353

... 2 billion in damage (Honolulu Advertiser, January 22, 1993). Property-liability insurers exhibited no significant price response around the hurricane strike date (Event Date +14), indicating that Iniki did not impact on property -liability stock prices . The estimation period beta for all firms was 0.366. The event period beta for all firms was...

18/3,K/9 (Item 2 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2006 The Gale Group. All rts. reserv.

04491894 SUPPLIER NUMBER: 08101124 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Boeing reports 1989 sales, earnings.

PR Newswire, 0129SE004

Jan 29, 1990

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 1784 LINE COUNT: 00147

... resulting in sales being approximately \$2 billion less than projected. The reduced sales, higher than anticipated lump-sum wage payments and strike disruption costs contributed to a substantial

reduction in fourth **quarter** operating **earnings** and interest **income** .
In the fourth **quarter** , the company adopted, retroactive to Jan. 1, 1989, the provisions of Statement of Financial Accounting...

18/3,K/10 (Item 3 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2006 The Gale Group. All rts. reserv.

03914750 SUPPLIER NUMBER: 07575453 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Fleming, after a tough year, expects stronger first quarter.
Zwiebach, Elliot
Supermarket News, v39, n18, p2(2)
May 1, 1989
ISSN: 0039-5803 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 892 LINE COUNT: 00073

... is in line with the company's earlier statement that it might not match last **year** 's unusually strong **earnings** per share figure in the first **quarter** because of higher interest **expenses** and **costs** associated with the Philadelphia **strike** .

Werries **anticipates** sales for the year will rise about 14% to \$12 billion, compared with \$10.5...

18/3,K/11 (Item 1 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2006 Financial Times Ltd. All rts. reserv.

0011707536 A20050821432-46-DFT
FT.com site : For Northwest, it's survival. For the strikers, it's their livelihoods.
Kevin Allison
FT.COM SITE
Sunday, August 21, 2005
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
Word Count: 657

...Steve Gross, a 45-year-old line mechanic who has been with Northwest for 25 **years** , says he has been setting aside **money** for more than a **year** in **anticipation** of a **strike** .

"I've been saving my **money** as best I can. It's hard with a family. My wife is a nurse...

18/3,K/12 (Item 2 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2006 Financial Times Ltd. All rts. reserv.

0011707465 A20050822388-101-FT
COMPANIES INTERNATIONAL: On opposite sides with everything to lose: For Northwest, it's survival. For the strikers, it's their livelihoods. Kevin Allison reports
KEVIN ALLISON
Financial Times, London Ed1 ED, P 22
Monday, August 22, 2005
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

SECTION HEADING: COMPANIES INTERNATIONAL
Word Count: 659

...Steve Gross, a 45-year-old line mechanic who has been with Northwest for 25 **years**, says he has been setting aside **money** for more than a **year** in **anticipation** of a **strike**.

"I've been saving my **money** as best I can. It's hard with a family. My wife is a nurse...

18/3,K/13 (Item 3 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2006 Financial Times Ltd. All rts. reserv.

0003534486 B06JMBEACIFT

Ferry Strike Prompts Sea Containers To Suspend Dividends

KEVIN BROWN and CHARLER LEADBEATER, Transport Correspondents
Financial Times, P 20

Saturday, October 11, 1986

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
Word Count: 411

TEXT:

...Containers, the Bermuda-registered parent company of the strike-hit Sealink UK, is indefinitely suspending **quarterly dividend payments** in **anticipation** in part of the **cost** of the **strike** and future redundancy **payments**.

The company, the world's biggest lessor of containerships and associated equipment, will save about...

18/3,K/14 (Item 1 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications
(c) 2006 McGraw-Hill Co. Inc. All rts. reserv.

0193121

Boeing Fourth Quarter Sales Down Marginally

Aviation Daily, Vol. 299, No. 21, Pg 204

January 30, 1990

JOURNAL CODE: AD

ISSN: 0193-4597

WORD COUNT: 208

TEXT:

... in sales being approximately \$2 billion less than projected, Boeing said. Reduced sales, higher-than- **anticipated** lump sum wage **payments** and **strike** disruption **costs** contributed to a substantial reduction in fourth **quarter** operating **earnings** and interest **income**, the company said.

18/3,K/15 (Item 2 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications
(c) 2006 McGraw-Hill Co. Inc. All rts. reserv.

0184516

Second Fiscal Quarter Seen As Bottom Of Metro Financial Slide
Regional Aviation Weekly, Vol. 4, No. 51, Pg 463
December 22, 1989
JOURNAL CODE: RA
ISSN: 1044-9450
WORD COUNT: 574

TEXT:

... and load factors are expected to climb back to pre-strike levels during the current **quarter** , "but we were losing **money** before the **strike** started." He declined to **predict** when the Atlanta operation would again be profitable. Metro's other Eastern feed operation at...

18/3,K/16 (Item 1 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

50595816 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shiningbank Energy Announces Second Quarter 2006 Financial Results
CCNMATTHEWS
August 04, 2006
JOURNAL CODE: WCCN LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 4210

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... to 4.6% (2005 - 3.8% to 4.2%), volatility of 60%, life of 10 **years** , and a **dividend** yield **rate** of 10% representing the difference between the **anticipated** distribution and the anticipated reduction in the **strike** **price** . Users are cautioned that the assumptions made are estimates of future events and actual results...

18/3,K/17 (Item 2 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

48816548 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shiningbank Energy Announces First Quarter 2006 Financial Results
CCNMATTHEWS
May 09, 2006
JOURNAL CODE: WCCN LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 3720

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... free interest rates of 4.1% (2005 - 4.2%), volatility of 60%, life of 10 **years** , and a **dividend** yield **rate** of 10% representing the difference between the **anticipated** distribution and the anticipated reduction in the **strike** **price** . Users are cautioned that the assumptions made are estimates of future events and actual results...

18/3,K/18 (Item 3 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter

(c) 2006 Dialog. All rts. reserv.

47507450 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Shiningbank Energy Announces 2005 Financial Results

CCNMATTHEWS (WAS (CCN NEWSWIRE))

March 02, 2006

JOURNAL CODE: WCCN LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 9112

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... to 4.2% (2004 - 4.3% to 4.8%), volatility of 60%, life of 10 **years** , and a **dividend** yield **rate** of 10% representing the difference between the **anticipated** distribution and the anticipated reduction in the **strike** **price** . Users are cautioned that the assumptions made are estimates of future events and actual results...

18/3,K/19 (Item 4 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

(c) 2006 Dialog. All rts. reserv.

45350459 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Talks Break Off in Philly Transit Strike

MICHAEL RUBINKAM

AP ONLINE

November 02, 2005

JOURNAL CODE: WAPO LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 385

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... it's unfair."

Bus driver Bob Horn said he and other longtime employees began saving **money** **months** ago in **anticipation** of a **strike** . He said he has enough cash to get through Christmas.

"After 31 years of service...

18/3,K/20 (Item 5 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

(c) 2006 Dialog. All rts. reserv.

44091444 (USE FORMAT 7 OR 9 FOR FULLTEXT)

On opposite sides with everything to lose:

SECTION TITLE: COMPANIES INTERNATIONAL

FINANCIAL TIMES , London Ed1 20050822L122.013 ed, p22

August 22, 2005

JOURNAL CODE: FFT LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 647

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... Steve Gross, a 45-year-old line mechanic who has been with Northwest for 25 **years** , says he has been setting aside **money** for more than a **year** in **anticipation** of a **strike** .

"I've been saving my **money** as best I can. It's hard with a family. My wife is a nurse...

18/3,K/21 (Item 6 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

43337151 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Hurricane Dennis is Close; - Part 2

SECTION TITLE: News; International
Lucia Newman, Greg Hunter, Zain Verjee, Fredricka Whitfield, Rob Marciano,
Kathleen Koch, Jeff Weinsier
CNN NEWS
July 09, 2005
JOURNAL CODE: WCNN LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 3506

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... to number one.
But first, here's Jack Cafferty with a preview of "In the **Money** ."
JACK CAFFERTY, HOST, "In the **Money** ": Thanks.
Coming up on "In the **Money** :" Reacting to a terrorist **strike** .
Find out if the world' markets are learning to take these attacks in
stride. Plus...

... Mexico. Most of the northern Gulf Coast remains under a hurricane watch
or warning. Forecasters **predict** that Dennis may make landfall tomorrow,
likely between Pensacola and Mobile, Alabama.
Stay with CNN...

... Atlanta. Rob Marciano will have another update at the bottom of the
hour. Right now, **time** for "In the **Money** ."
TO ORDER A VIDEO OF THIS TRANSCRIPT, PLEASE CALL 800-CNN-NEWS OR USE
OUR...

18/3,K/22 (Item 7 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

43034222 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Gerrard reaches Liverpool D-Day

PAUL JOYCE
EXPRESS
June 22, 2005
JOURNAL CODE: FDE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 528

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... week.
Michael Owen's departure last August came after Benitez showed
reluctance to sanction a **money** -spinning new deal for the **striker** , so
next week will provide an instant **indication** of what lengths Liverpool
will go to keep Gerrard.
He proved he is not motivated...

18/3,K/23 (Item 8 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

41008801 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shiningbank Energy Announces 2004 Financial Results
CCN NEWSWIRE
March 04, 2005
JOURNAL CODE: WCCN LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 8480

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... to 4.82% (2003 - 4.16 to 4.76%), volatility of 60%, life of 10
years , and a **dividend** yield **rate** of 10% representing the difference
between the **anticipated** distribution and the anticipated drop in the
strike price .

For rights issued in 2002, Shiningbank has elected to disclose the pro
forma effect as...

18/3,K/24 (Item 9 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
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04885166 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Steelworkers Elated Outside Paralyzed Virginia Shipyard
Mark Di Vincenzo and Laurie Koch Thrower
KRTBN KNIGHT-RIDDER TRIBUNE BUSINESS NEWS (DAILY PRESS - NEWPORT NEWS,
VIRGINIA)
April 06, 1999
JOURNAL CODE: KDPN LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 924

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... of those on strike, they said they have families, and they have
been saving extra **money** for **months** in **anticipation** of this **strike** .
They noted that with three aircraft carriers in the yard and one on the way
...
?

? show files; ds; save temp; logoff hold
 File 35:Dissertation Abs Online 1861-2006/Aug
 (c) 2006 ProQuest Info&Learning
 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
 (c) 2002 The Gale Group
 File 65:Inside Conferences 1993-2006/Sep 11
 (c) 2006 BLDSC all rts. reserv.
 File 2:INSPEC 1898-2006/Sep W1
 (c) 2006 Institution of Electrical Engineers
 File 144:Pascal 1973-2006/Aug W3
 (c) 2006 INIST/CNRS
 File 474:New York Times Abs 1969-2006/Sep 11
 (c) 2006 The New York Times
 File 475:Wall Street Journal Abs 1973-2006/Sep 11
 (c) 2006 The New York Times
 File 99:Wilson Appl. Sci & Tech Abs 1983-2006/Jul
 (c) 2006 The HW Wilson Co.

Set	Items	Description
S1	273330	(FUTURE OR NEXT OR TIME OR MONTH?? OR YEAR?? OR ANNUAL?? OR QUARTER?? OR PERIOD?? OR WEEK?? OR COMING OR EXTENDED OR LATER OR SUBSEQUENT??) (7N) (ASSET? ? OR SAVINGS OR PROPERT??? OR - EARNINGS OR INCOME OR RESOURCES OR CAPITAL OR MONEY? ? OR MONIES OR DIVIDE
S2	44052	S1(7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? OR PAY??? OR PAYMENT? ?)
S3	958	S2(7N) (PREDICT??? OR ANTICIPAT??? OR FORECAST??? OR FORETELL??? OR INDICAT??? OR JUDG???)
S4	49771	(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? - OR PAY??? OR PAYMENT? ?) (7N) (FUTURE? ? OR OPTION? ?)
S5	1350	SMOOTH??? (3N) OPERATION
S6	6602	STRIK??? (7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? OR PAY??? OR PAYMENT? ?)
S7	9454	AU=(COOPER, P? OR COOPER, P? OR COOPER, L? OR COOPER L? OR MYERS, S? OR MYERS S? OR FORNEY, G? OR FORNEY G? OR SCOTT, L? OR SCOTT L?)
S8	0	S7 AND S3
S9	29	S7 AND S1
S10	4	S9 AND S2
S11	4	RD (unique items)
S12	111	S3 AND S4
S13	0	S12 AND S5
S14	0	S13 AND S6
S15	1	S3 AND S5
S16	0	S3 AND S6
S17	421	PREDICT??? (7N) ASSET? ?
S18	112	S17(7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? OR PAY??? OR PAYMENT? ?)
S19	108	RD (unique items)
S20	71	S19 NOT PY>2000
S21	15	S20 AND (OPTION? ? OR FUTURE? ?)

11/3,K/1 (Item 1 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

1038168 ORDER NO: AAD88-23312

THE PROFESSIONAL DEVELOPMENT OF PRINCIPALS: THE PRINCIPAL'S PERSPECTIVE

Author: COOPER, LAURA ANN
Degree: ED.D.
Year: 1988
Corporate Source/Institution: HARVARD UNIVERSITY (0084)
Source: VOLUME 49/11-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 3214. 253 PAGES

Author: COOPER, LAURA ANN

...reduced because these incentives were frequently outweighed by negative disincentives, such as loss of personal **time** and **money** or reduction of role efficiency. (4) Principals valued on-the-job learning experiences--such as...

11/3,K/2 (Item 1 from file: 2)
DIALOG(R)File 2:INSPEC
(c) 2006 Institution of Electrical Engineers. All rts. reserv.

01215576 INSPEC Abstract Number: C71003047

Title: An on-board recorder for diesel locomotive maintenance

Author(s): Scott, L.H., Jr.
Journal: Rail International no.7-8 p.549-52
Publication Date: July 1970 Country of Publication: Belgium
CODEN: RAIIAF ISSN: 0020-8442
Language: English
Subfile: C

Author(s): Scott, L.H., Jr.

...Abstract: is expected that this system will provide better utilization of motive power by reducing shopping **time** , with consequent **savings** in maintenance and investment **costs** .

11/3,K/3 (Item 1 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2006 The New York Times. All rts. reserv.

07424485 NYT Sequence Number: 067725960425

GIULIANI'S DEAL WITH M.T.A. IS CRITICIZED BY FISCAL MONITORS

Myers, Steven Lee
New York Times, Col. 5, Pg. 3, Sec. B
Thursday April 25 1996

Myers, Steven Lee

ABSTRACT:

...over four years and receive immediate cash payment from Metropolitan Transportation Authority; Giuliani agrees to **pay** Transit Authority \$500 million during **next** four **years** , using **money** city would raise by issuing bonds; MTA agrees to give city \$250 million this **year** and **next** ; Giuliani and his aides say **money** will be used to close \$2 billion gap in

15/3,K/1 (Item 1 from file: 99)
DIALOG(R)File 99:Wilson Appl. Sci & Tech Abs
(c) 2006 The HW Wilson Co. All rts. reserv.

2962644 H.W. WILSON RECORD NUMBER: BAST99034892

Well diagnosis/control system cuts beam pumping costs

McCoy, Jim; Edwards, Rory; Coop, Artie

World Oil v. 220 no6 (June 1999) p. 52-4 ISSN: 0043-8790

...ABSTRACT: As a result, power costs have fallen from \$203 per month to around \$108 per month . With **smoother operation** and less emphasis on **equipment** , lower maintenance **expenses** are also **anticipated** . **Payout** for the entire well work is expected after approximately eight months.
?

21/3,K/1 (Item 1 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01794697 ORDER NO: AADAA-I9933974

LIQUIDITY RISK AND PREDICTABILITY OF FINANCIAL MARKETS: THEORY AND EVIDENCE

Author: WU, QIANLI
Degree: PH.D.
Year: 2000
Corporate Source/Institution: BOSTON UNIVERSITY (0017)
Source: VOLUME 60/06-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 2159. 106 PAGES

...is correlated with risk-related macroeconomic variables. Evidence is then provided that discounts can help **predict future share price** returns and net **asset value** returns.

Chapter four explores further the predictability of price returns. Predictability is both statistically and...

21/3,K/2 (Item 2 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01649211 ORDER NO: AAD98-36992

FOREST PRODUCTS COMPANY SYSTEMATIC RISK REDUCTION THROUGH DIVERSIFICATION IN TIMBERLAND

Author: VISE, GUY THOMPSON, III
Degree: PH.D.
Year: 1998
Corporate Source/Institution: UNIVERSITY OF GEORGIA (0077)
Source: VOLUME 59/06-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 2129. 113 PAGES

...terms of variability in its manufacturing returns and timberland returns. Minimizing financial risk (variation in **future** returns) is examined based on both existing timberland assets and potential (return-maximized) timberland assets...

...companies in the study. The task remains to find a model better than the Capital **Asset Pricing** Model for **predicting** expected return of FPCs.

21/3,K/3 (Item 3 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
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01621454 ORDER NO: AAD98-18624

ESSAYS IN MACROECONOMICS (UNCERTAINTY, MONETARY POLICY, INVESTMENT, EFFICIENCY)

Author: CAGLAYAN, MUSTAFA
Degree: PH.D.
Year: 1997
Corporate Source/Institution: BOSTON COLLEGE (0016)
Source: VOLUME 58/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 4734. 78 PAGES

...the Istanbul Bourse do not seem to consistently reap abnormal

profits by being able to **predict future prices** . Although **asset prices** seem to move together in the long run, the use of ECM fails to improve...

21/3,K/4 (Item 4 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online
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01564829 ORDER NO: AAD97-23106

ESSAYS ON MARKET MICROSTRUCTURE AND OPTIONS (LIQUIDITY, ASSET PRICING)

Author: MAYHEW, STEWART JAMES

Degree: PH.D.

Year: 1996

Corporate Source/Institution: UNIVERSITY OF CALIFORNIA, BERKELEY (0028)

Source: VOLUME 58/02-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 538. 75 PAGES

ESSAYS ON MARKET MICROSTRUCTURE AND OPTIONS (LIQUIDITY, ASSET PRICING)

Asset pricing models, including **option** pricing models, typically generate formulas that describe a security's value in a frictionless market ...

...three essays presented in this thesis are related to three different reasons why observed market **prices** might differ from the **prices predicted** by **asset pricing** models: liquidity premia, parameter uncertainty, and monitoring costs.

The first essay presents a new theoretical...

...second essay addresses the issue of parameter uncertainty, in the context of the Black-Scholes **option** pricing model. It investigates the extent to which interest rate and dividend parameters may be inferred from the market prices of **options** when there are transactions costs in the **option** market. It also investigates the extent to which error in estimating the dividend yield affects...

...dividend or interest rate parameters affects implied volatility estimates. Methodological implications for empirical research on **option** pricing models are suggested.

The third essay addresses the impact on American **option** values of costly monitoring for early exercise. This is accomplished by examining the speed of convergence of Bermudan **options** (**options** that can be exercised only on certain dates prior to maturity) to the American **option** price as more and more early exercise dates are added. Some effort is also made...

21/3,K/5 (Item 5 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online
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01503147 ORDER NO: AAD96-31468

SENTIMENT, SEGMENTATION, AND SECURITY PRICES: THREE ESSAYS IN FINANCE (CHINA)

Author: DABORA, EMIL MORRIS

Degree: PH.D.

Year: 1996

Corporate Source/Institution: HARVARD UNIVERSITY (0084)

Source: VOLUME 57/05-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

The classical finance paradigm **predicts** that an **assets value** is solely measured by the present discounted value of its **future** cash flows. This means that neither location of trade, citizenship of owner, nor past price...

21/3,K/6 (Item 6 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
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01489912 ORDER NO: AADAA-I9623109

THE DEBT EQUIVALENCY OF NUCLEAR DECOMMISSIONING COSTS IN THE INVESTOR OWNED UTILITY INDUSTRY

Author: MCKIE, ANITA R.
Degree: PH.D.
Year: 1996
Corporate Source/Institution: UNIVERSITY OF SOUTH CAROLINA (0202)
Source: VOLUME 57/03-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 1212. 78 PAGES

...value of equity model.

The examination of bond risk premiums is based on the Merton **option** pricing model and predicts that bond risk premiums are affected by the risk free rate...

...common stock of the sample firms.

The market value of equity model predicts that stock **prices** reflect the values of the firms' underlying **assets** and liabilities. More precisely, it **predicts** that in a regression of the market **value** of equity on the assets and liabilities of the firm, the coefficients on assets and...

21/3,K/7 (Item 7 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01472854 ORDER NO: AADAA-I9612205

A RE-EXAMINATION OF THE BOOK TO MARKET EFFECT IN STOCK RETURNS (BOOK TO MARKET RATIO)

Author: KADIYALA, PADMAJA
Degree: PH.D.
Year: 1995
Corporate Source/Institution: THE OHIO STATE UNIVERSITY (0168)
Source: VOLUME 56/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 4848. 127 PAGES

...shows that these growth opportunities serve to reduce a firm's sensitivity to unanticipated interest **rate** changes. Merton's (1973) intertemporal **asset pricing** model then **predicts** that such firms earn lower ex-ante returns.

The model's major testable hypotheses are...

...B/M effect can be explained by cross-sectional differences in the proportion of growth **options** in firm value.

The first hypothesis is tested by imposing the restriction that the

coefficients...

21/3,K/8 (Item 8 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online
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01399299 ORDER NO: AAD95-07482

**INTERPRETING EVIDENCE OF PREDICTABLE VARIATION IN STOCK AND BOND RETURNS
(STOCKS, BONDS, ASSET PRICING)**

Author: KIRBY, CHRISTOPHER MILES

Degree: PH.D.

Year: 1994

Corporate Source/Institution: DUKE UNIVERSITY (0066)

Source: VOLUME 55/10-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 3273. 91 PAGES

...and the equilibrium price of an asset reflects rational expectations regarding the probability distribution of **future** returns. To a large extent, the ongoing debate over the efficiency of security markets focuses...

...predictable variation in asset returns, and a method for testing whether the observed ability to **predict** returns violates the restrictions imposed by **asset pricing** theory. The dissertation addresses both issues.

Much of the research on the link between predictability...

21/3,K/9 (Item 9 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online
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01399089 ORDER NO: AAD95-06825

**ABNORMAL, PERSISTENT PERFORMANCE OF MANAGED PORTFOLIOS, AND WINNER-LOSER
INVESTING STRATEGIES (CONTRARIAN)**

Author: KUO, HSIUJEN

Degree: PH.D.

Year: 1994

Corporate Source/Institution: UNIVERSITY OF CALIFORNIA, IRVINE (0030)

Source: VOLUME 55/10-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 3273. 149 PAGES

...portfolio residuals measure, computes the difference between securities' realized returns and their conditional expected returns **predicted** by an assumed-efficient **asset pricing** model. To achieve outperformance, portfolio managers should tend to include those securities that have higher...

...a long-term horizon (fourteen quarters), there is an insignificant positive relationship between past and **future** performances. Furthermore, in order to explain the reason why portfolio performance persists over time, this...

21/3,K/10 (Item 10 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01392720 ORDER NO: AAD95-02349

MANAGERIAL INCENTIVES AND INSTITUTIONAL STRUCTURE (CONTRACTING THEORY)

Author: VASUDEVAN, GOPALA K.

Degree: PH.D.

Year: 1994

Corporate Source/Institution: NEW YORK UNIVERSITY, GRADUATE SCHOOL OF
BUSINESS ADMINISTRATION (0868)

Source: VOLUME 55/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 2935. 80 PAGES

...rich menu of empirical predictions and is able to explain previously unexplained phenomena. The model **predicts** that firms which have lower **cost** of **asset** sales will restructure privately, and firms which have more valuable investment opportunities will restructure faster ...

...in financial distress I examine the relationship between the time spent in financial distress and **future** firm performance. In an improvement over previous studies, I explicitly control for the survivorship bias...

21/3,K/11 (Item 11 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online

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01255516 ORDER NO: AAD92-39294

SOLUTIONS TO PUZZLES IN FINANCE: I. THE EQUITY PREMIUM PUZZLE. II. "EXCESS" EXCHANGE RATE VOLATILITY. III. THE FORWARD PREMIUM PUZZLE (FINANCIAL PUZZLES)

Author: LEAHY, KELLY MAURA

Degree: PH.D.

Year: 1992

Corporate Source/Institution: THE UNIVERSITY OF TEXAS AT AUSTIN (0227)

Source: VOLUME 53/08-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 2921. 182 PAGES

...financial markets. The equity premium puzzle refers to the failure of consumption-based models of **asset pricing** to accurately **predict** the excess return on a stock market index over the riskfree rate. In international markets...

...the forward premium puzzle. The difference between the forward price of foreign currency and the **future** spot varies more than can be explained by the consumption-based model.

This dissertation analyzes...

21/3,K/12 (Item 12 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online

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1044134 ORDER NO: AAD89-05828

STRATEGIC LINKAGES BETWEEN HOSPITALS AND PHYSICIANS: A TRANSACTION COST ANALYSIS

Author: JACOBSON, CAROL KATHLEEN

Degree: PH.D.

Year: 1988

Corporate Source/Institution: UNIVERSITY OF MINNESOTA (0130)

Source: VOLUME 49/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 3788. 183 PAGES

...basis for developing hypotheses about the conditions that determine the choice of governance structure. Transaction **cost** economics **predicts** that a greater degree of **asset** specificity (investment in assets specialized to the transaction) will be associated with a shift away...

...of difficulties in operationalizing asset specificity. Refinements to the survey instrument are suggested, and a **future** research program is outlined that includes these modifications.

21/3,K/13 (Item 13 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
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0993919 ORDER NO: AAD88-14675

TOP: TUNING AN OPTION PRICING MODEL USING A KNOWLEDGE-BASED APPROACH

Author: KIM, SUNG KUN

Degree: PH.D

Year: 1988

Corporate Source/Institution: NEW YORK UNIVERSITY, GRADUATE SCHOOL OF
BUSINESS ADMINISTRATION (0868)

Source: VOLUME 49/05-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 983. 134 PAGES

TOP: TUNING AN OPTION PRICING MODEL USING A KNOWLEDGE-BASED APPROACH

...hybrid model--representing a cooperative effort between the financial model and the knowledge-based model-- **predicts** the market **value** of the financial **asset** more accurately. Among many different types of assets, this study will focus on **option** pricing. The result is TOP, a knowledge-based system for Tuning an **Option** Pricing Model. TOP breaks down into three distinguishable components--a specific financial model (the Black-Scholes **option** pricing model), a general problem-solving component which consists of structural pattern construction and explanation...

21/3,K/14 (Item 1 from file: 2)
DIALOG(R)File 2:INSPEC
(c) 2006 Institution of Electrical Engineers. All rts. reserv.

07048116 INSPEC Abstract Number: C9811-1290D-033

Title: Heterogeneous beliefs and routes to chaos in a simple asset pricing model

Author(s): Brock, W.A.; Hommes, C.H.

Author Affiliation: Dept. of Econ., Wisconsin Univ., Madison, WI, USA

Journal: Journal of Economic Dynamics and Control Conference Title: J.
Econ. Dyn. Control (Netherlands) vol.22, no.8-9 p.1235-74

Publisher: Elsevier,

Publication Date: Aug. 1998 Country of Publication: Netherlands

CODEN: JEDCDH ISSN: 0165-1889

SICI: 0165-1889(199808)22:8/9L:1235:HBRC;1-Q

Material Identity Number: A637-98006

U.S. Copyright Clearance Center Code: 0165-1889/98/\$19.00

Conference Title: Second Annual Meeting of the Society for Computational Economics

Conference Date: June 1996 Conference Location: Geneva, Switzerland
Language: English
Subfile: C
Copyright 1998, IEE

...Abstract: discounted value asset pricing model with heterogeneous beliefs. Agents choose from a finite set of **predictors** of **future prices** of a risky **asset** and revise their 'beliefs' in each period in a boundedly rational way, according to a...

...Identifiers: **future** prices

21/3,K/15 (Item 1 from file: 144)
DIALOG(R)File 144:Pascal
(c) 2006 INIST/CNRS. All rts. reserv.

09941111 PASCAL No.: 92-0151010
The rationality of prices and volume in experimental markets
CAMERER C
Univ. Pennsylvania, USA
Journal: Organizational behavior and human decision processes, 1992, 51
(2) 237-272
Language: English

... indicate that errors do make prices or trading volume irrational. For example, in markets for **assets** of uncertain **value** a representativeness-based theory **predicts** deviations of **prices** from Bayesian predictions. Endowment effects and optimism about relative trading ability lead to trading volumes which are too low or too high. Forecasts of **future** prices in markets violate rational expectations restrictions. And subjects do not ignore their own information...

?

File 348:EUROPEAN PATENTS 1978-2006/ 200636

(c) 2006 European Patent Office

File 349:PCT FULLTEXT 1979-2006/UB=20060907UT=20060831

(c) 2006 WIPO/Thomson

Set	Items	Description
S1	94895	(FUTURE OR NEXT OR TIME OR MONTH?? OR YEAR?? OR ANNUAL?? OR QUARTER?? OR PERIOD?? OR WEEK?? OR COMING OR EXTENDED OR LATER OR SUBSEQUENT??) (7N) (ASSET? ? OR SAVINGS OR PROPERT??? OR - EARNINGS OR INCOME OR RESOURCES OR CAPITAL OR MONEY? ? OR MON-IES OR DIVIDE
S2	17548	S1(7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPEN-S??? OR PAY??? OR PAYMENT? ?)
S3	469	S2(7N) (PREDICT??? OR ANTICIPAT??? OR FORECAST??? OR FORETEL-L??? OR INDICAT??? OR JUDG???)
S4	19226	(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARG-E? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? - OR PAY??? OR PAYMENT? ?) (7N) (FUTURE? ? OR OPTION? ?)
S5	8608	SMOOTH??? (3N) OPERATION
S6	2519	STRIK??? (7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MON-IES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? OR PAY??? OR PAYMENT? ?)
S7	234	AU=(COOPER, P? OR COOPER, P? OR COOPER, L? OR COOPER L? OR MYERS, S? OR MYERS S? OR FORNEY, G? OR FORNEY G? OR SCOTT, L? OR SCOTT L?)
S8	1	S7 AND S3
S9	51	S3(3N) S4
S10	0	S9(3N) S5
S11	0	S9(3N) S6
S12	0	S3(7N) S6
S13	11	S9 NOT PY>2000

8/3,K/1 (Item 1 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00883983

**GENERATING AND PROVIDING INFORMATION ABOUT EXPECTED FUTURE PRICES OF ASSETS
AND VISUALIZATION OF ASSET INFORMATION
GENERATION ET ENVOI D'INFORMATIONS SUR DES PRIX ATTENDUS DE BIENS ET
VISUALISATIONS DES INFORMATIONS SUR LES BIENS**

Patent Applicant/Assignee:

THINKING INVESTMENTS INC, Suite 555, 800 South Street, Waltham, MA 02453,
US, US (Residence), US (Nationality), (For all designated states
except: US)

Patent Applicant/Inventor:

COOPER Philip A, 61 Buckskin Drive, Weston, MA 02493, US, US (Residence),
US (Nationality), (Designated only for: US)

COOPER Lisette , 61 Buckskin Drive, Weston, MA 02493, US, US (Residence)
, US (Nationality), (Designated only for: US)

MYERS Stuart , 60 Meriam Road, Lexington, MA 02173, US, US (Residence),
US (Nationality), (Designated only for: US)

FORNEY G David Jr , 1010 Memorial Drive #3G, Cambridge, MA 02138, US, US
(Residence), US (Nationality), (Designated only for: US)

SCOTT Leonard L Jr , 325 Tearose Lane, Charlottesville, MA 22903, US, US
(Residence), US (Nationality), (Designated only for: US)

SHECTMAN Benjamin, 72 Abbotsford Road, North Plainfield, NJ 07062, US, US
(Residence), US (Nationality), (Designated only for: US)

LECLAIR Raymond, 2 Sandas Trail, Acton, MA 01722, US, US (Residence), US
(Nationality), (Designated only for: US)

LI Yongxiang, 11-B Hamre Lane, Brandford, CT 06405, CN, CN (Residence),
CN (Nationality), (Designated only for: US)

Legal Representative:

FEIGENBAUM David L (agent), Fish & Richardson P.C., 225 Franklin Street,
Boston, MA 02110-2804, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200217120 A2 20020228 (WO 0217120)

Application: WO 2001US25753 20010817 (PCT/WO US0125753)

Priority Application: US 2000641589 20000818; US 2001836484 20010417

Parent Application/Grant:

Related by Continuation to: US 2001836484 20010417 (CON); US 2000641589
20000818 (CON)

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL
TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 24138

Patent Applicant/Inventor:

... Designated only for: US)

COOPER Lisette ...

...Designated only for: US)
MYERS Stuart ...

...Designated only for: US)
FORNEY G David Jr ...

...Designated only for: US)
SCOTT Leonard L Jr ...

Fulltext Availability:
Detailed Description

Detailed Description

... that option prices for a 20 given underlying asset are indicative of the market's **prediction** of the of the risk-neutral **price** of the underlying **asset** in the **future** (e.g., at the expiration of the option). Option price data may be used to...interactive web
10 page to allow the user 108 to view the market's current **prediction** of **future** values of portfolios of **assets** . The past market **price** 734 and current market price 736 of the asset portfolios 732 are displayed. Also displayed...

...GUI 700 includes price-spread displays 750 representing the cumulative 25 distribution values of the **predicted future prices** of an **asset** over **periods** of **time** . The **price** -spread display 750a shows the **price** distribution data that was generated at a time three months earlier. A three-month history...

...of the merit of the price distribution information. The price spread display 750b represents the **predicted** cumulative distribution values of the **asset prices** over a **period** of one **month** into the future. The left edge of display 750b, of course, begins at the actual...

?

13/3,K/1 (Item 1 from file: 348)
DIALOG(R)File 348:EUROPEAN PATENTS
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00858383

System and method for determination of incremental value at risk for securities trading

System und Verfahren zur Ermittlung inkrementaler Wert-Risiko-Parameter im Wertpapierhandel

Systeme et procede pour determiner la valeur-au-risque incrementale pour des transactions sur des titres

PATENT ASSIGNEE:

Financial Engineering Associates, Inc., (2259230), 2484 Shattuck Avenue, Suite 225, Berkeley, California 94704, (US), (applicant designated states: AT;BE;CH;DE;ES;FR;GB;IT;LI;LU;NL;SE)

INVENTOR:

Garman, Mark B., 8 Brookbank Road, Orinda, CA 94563, (US)

LEGAL REPRESENTATIVE:

Liesegang, Roland, Dr.-Ing. (7741), FORRESTER & BOEHMERT
Franz-Joseph-Strasse 38, 80801 Munchen, (DE)

PATENT (CC, No, Kind, Date): EP 790568 A1 970820 (Basic)

APPLICATION (CC, No, Date): EP 97101727 970204;

PRIORITY (CC, No, Date): US 600685 960213

DESIGNATED STATES: AT; BE; CH; DE; ES; FR; GB; IT; LI; LU; NL; SE

INTERNATIONAL PATENT CLASS (V7): G06F-017/60;

LANGUAGE (Publication,Procedural,Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	9708W3	1698
SPEC A	(English)	9708W3	5154
Total word count - document A			6852
Total word count - document B			0
Total word count - documents A + B			6852

...CLAIMS a is the second set of cashflows;

- b) a return normalization factor equal to the **value** of the **anticipated future** returns of the candidate trade;
- c) a **capital** normalization factor equal to an amount of capital required sustain the candidate trade;
- d) a...a is the second set of cashflows;
- b) a return normalization factor equal to the **value** of the **anticipated future** returns of the candidate trade;
- c) a **capital** normalization factor equal to an amount of capital required sustain the candidate trade;
- d) a...

13/3,K/2 (Item 2 from file: 348)
DIALOG(R)File 348:EUROPEAN PATENTS
(c) 2006 European Patent Office. All rts. reserv.

00548200

Work management computer system.

Rechnersystem fur Arbeitsverwaltung.

Systeme a ordinateur de la gerance de travail.

PATENT ASSIGNEE:

INTELLINOMICS CORPORATION, (1495130), 1200 Gough Street, San Fransisco, CA 94109, (US), (applicant designated states:

BE;DE;DK;ES;FR;GB;GR;IT;LU;NL;PT)

INVENTOR:
 Long, Jeffrey G., 37 Bret Harte Terrace, San Francisco, CA 94133, (US)
 Fearing, Robert M., 8 Midway, San Francisco, CA 94133, (US)

LEGAL REPRESENTATIVE:
 Rodhain, Claude (18213), Cabinet Claude Rodhain 30, rue la Boetie,
 F-75008 Paris, (FR)

PATENT (CC, No, Kind, Date): EP 514231 A2 921119 (Basic)
 APPLICATION (CC, No, Date): EP 92401117 920421;
 PRIORITY (CC, No, Date): US 698501 910510
 DESIGNATED STATES: BE; DE; DK; ES; FR; GB; GR; IT; LU; NL; PT
 INTERNATIONAL PATENT CLASS (V7): G06F-015/21;
 ABSTRACT WORD COUNT: 48
 LANGUAGE (Publication,Procedural,Application): English; English; English
 FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	EPABF1	3371
SPEC A	(English)	EPABF1	24462
Total word count - document A			27833
Total word count - document B			0
Total word count - documents A + B			27833

...SPECIFICATION their work processes to fit the options provided by the package, or (b) invest enormous **time** , effort and **money** in changing the software in the package to support the organization's existing work processes...

...face the same problem because while they can always support current work processes, given enough **time** and **money** , they still cannot **anticipate future** work processes

13/3,K/3 (Item 1 from file: 349)
 DIALOG(R)File 349:PCT FULLTEXT
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00762441 **Image available**

SYSTEM AND METHOD FOR VALUING PATENTS

SYSTEME ET PROCEDE PERMETTANT DE DETERMINER LA VALEUR DE BREVETS

Patent Applicant/Assignee:

STOCKPRICEPREDICTOR COM LLC, 2314 South Fern Street, Arlington, VA 22202,
 US, US (Residence), US (Nationality), (For all designated states
 except: US)

Patent Applicant/Inventor:

GOFFMAN Martin, 3 Dellview Drive, Edison, NJ 08820-2545, US, US
 (Residence), US (Nationality), (Designated only for: US)
 NEIFELD Richard, 2314 South Fern Street, Arlington, VA 22202, US, US
 (Residence), US (Nationality), (Designated only for: US)

Legal Representative:

NEIFELD Richard, 2314 South Fern Street, Arlington, VA 22202, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200075851 A1 20001214 (WO 0075851)
 Application: WO 2000US6691 20000504 (PCT/WO US0006691)
 Priority Application: US 99137495 19990604; US 99142961 19990712; US
 2000190085 20000320

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES

FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT
TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 31775

Fulltext Availability:

Detailed Description

Detailed Description

... earnings.

A thirteenth object of the invention is to provide a computer implemented means for **predicting** the **future earnings**, profit margin, capitalization, **price to earnings** ratio, and stock **price** of an entity, based at least in part on the patent portfolio of the entity...

13/3,K/4 (Item 2 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2006 WIPO/Thomson. All rts. reserv.

00757136 **Image available**

METHODS AND APPARATUS FOR MANAGING INFORMATION RELATING TO SUBJECT MATTER OF COMMERCIAL TRANSACTIONS

PROCEDES ET APPAREIL POUR GERER DES INFORMATIONS RELATIVES AU DOMAINE DES TRANSACTIONS COMMERCIALES

Patent Applicant/Assignee:

WORLDSTREET CORPORATION, 465 Medford Street, Boston, MA 02129, US, US
(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

KOIKIS Alexis, 2000 Commonwealth Avenue, Brighton, MA 02135, US, US
(Residence), -- (Nationality), (Designated only for: US)

WALBORSKY Paul, 2000 Commonwealth Avenue, Brighton, MA 02135, US, US
(Residence), -- (Nationality), (Designated only for: US)

MARCUS David, 170 Puritan Road, Swampscott, MA 01907, US, US (Residence),
-- (Nationality), (Designated only for: US)

Legal Representative:

ELBING Kristofer E, 187 Pelham Island Road, Wayland, MA 01778, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200070524 A1 20001123 (WO 0070524)

Application: WO 2000US13885 20000518 (PCT/WO US0013885)

Priority Application: US 99313829 19990518

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES
FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT
TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English
Filing Language: English
Fulltext Word Count: 28297

Fulltext Availability:
Detailed Description

Detailed Description
... of the share price.

Yield

Ex-Date Expiration Date. The last day on which an **option** may be exercised.

Indicated Annual This value is the total of the expected **dividend payments** over the **Dividend next twelve months**. It is generally the most recent cash **dividend** paid or declared multiplied by the dividend **payment** frequency, plus any recurring extra dividends.

Table 6: Dividends Field Choices
Field Name Description
3...

13/3,K/5 (Item 3 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00736208 **Image available**

DATA PROCESSING SYSTEM FOR INITIATING AND ADMINISTERING FINANCIAL PRODUCTS
SYSTEME DE TRAITEMENT DE DONNEES POUR ENGAGER ET GERER DES PRODUITS
FINANCIERS

Patent Applicant/Inventor:

WOOD Jocelyn Tristram Gervais, 82 Merchant's Quay, Salford Quays,
Manchester M5 2XG, GB, GB (Residence), GB (Nationality)

Legal Representative:

BROWN Fraser Gregory James (et al) (agent), fJ Cleveland, 40-43 Chancery
Lane, London WC2A 1JQ, GB,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200049543 A2 20000824 (WO 0049543)

Application: WO 2000GB596 20000218 (PCT/WO GB0000596)

Priority Application: GB 993766 19990218

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB
GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA
UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English
Filing Language: English
Fulltext Word Count: 7133

Fulltext Availability:

Detailed Description

Detailed Description

... in this specific example
has been extracted from the 1998 Report of The Armed
Forces Pay Review Body) in order to **predict future income**
(4) to identify how he compares with his peer group. In
this case the peer...that it is secured
against the property, that life assurance is taken out
to the **value of future predicted income** repayments at the
date of death and that early repayment will incur a
compensating penalty...

13/3,K/6 (Item 4 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2006 WIPO/Thomson. All rts. reserv.

00569532 **Image available**

IMPROVED METHODS FOR PERFORMING RESERVOIR SIMULATION

PROCEDES AMELIORES DE SIMULATION DE RESERVOIR

Patent Applicant/Assignee:

LANDMARK GRAPHICS CORPORATION,

Inventor(s):

WATTS James W,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200032905 A2 20000608 (WO 0032905)

Application: WO 99US28137 19991124 (PCT/WO US9928137)

Priority Application: US 98109818 19981125; US 99441530 19991116

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB
GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA
UG UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU
TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG
CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 14718

Fulltext Availability:

Claims

Claim

... solution change after the convergence condition is satisfied, and
applying the final solution estimate to **predict properties** of
reservoir fluids at a **future time value** .

19 The method of claim 18, further comprising computing second residuals
of the implicit matrix...

13/3,K/7 (Item 5 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2006 WIPO/Thomson. All rts. reserv.

00544878 **Image available**

AN IMPROVED METHOD FOR BLEACHING PULP

PROCEDE PERFECTIONNE DE BLANCHIMENT DE PATE A PAPIER

Patent Applicant/Assignee:

ASIA PULP & PAPER CO LTD,

Inventor(s):

TIBBLING Ture Petter Magnus,

AGARWAL Arvind Kumar,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200008251 A1 20000217 (WO 0008251)

Application: WO 98IB1318 19980731 (PCT/WO IB9801318)

Priority Application: WO 98IB1318 19980731

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM
HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX
NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW GH GM
KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI
FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD
TG

Publication Language: English

Fulltext Word Count: 8105

Fulltext Availability:

Detailed Description

Detailed Description

... chlorine. Moreover, the present process will permit compliance with the stricter environmental regulations regarding chlorine **anticipated** in the near **future** .

An analysis of the **savings** in process **costs** indicates that the present process results in a savings of approximately \$20 (US) per ton of...

13/3,K/8 (Item 6 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00524840

**SECURITY ANALYST PERFORMANCE TRACKING AND ANALYSIS SYSTEM AND METHOD
SYSTEME ET PROCEDE DE SUIVI ET D'ANALYSE DES PERFORMANCES D'UN ANALYSTE EN
VALEURS MOBILIERES**

Patent Applicant/Assignee:

STARMINE L L C,

Inventor(s):

GATTO Joseph G,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9956192 A2 19991104

Application: WO 99US8909 19990423 (PCT/WO US9908909)

Priority Application: US 9882868 19980424

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE
GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK
MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU
ZA ZW GH GM KE LS MW SD SL SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH
CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW

ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 13268

Fulltext Availability:
Detailed Description

Detailed Description

... and buy/sell recommendations; and using such performance and other information to automatically produce better **predictors** of **future** corporate **earnings** or stock- **price** performance.

Background of the Invention

There are many individuals who analyze financial data and financial...

13/3,K/9 (Item 7 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00489764 **Image available**

METHOD AND SYSTEM FOR CREATING INDEX VALUES SUPPORTING THE SETTLEMENT OF RISK TRANSFER CONTRACTS

SYSTEME ET PROCEDE DE CREATION DE VALEURS-INDICES D'ASSISTANCE A L'ETABLISSEMENT DE CONTRATS DE TRANSFERT DE RISQUES

Patent Applicant/Assignee:

INDEXCO LLC,

Inventor(s):

MAJOR John A,

THOMAS Bruce B,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9921116 A1 19990429

Application: WO 98US12739 19980618 (PCT/WO US9812739)

Priority Application: US 97955443 19971020

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AU CA CN JP MX AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

Publication Language: English

Fulltext Word Count: 12975

Fulltext Availability:

Detailed Description

Detailed Description

... the time claim payments are made, insurers routinely designate case reserves, which are sums of **money** set aside in **anticipation** of **future payments** on a claim. An alternative embodiment could use, instead of paid losses, the sum of...

13/3,K/10 (Item 8 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00482069 **Image available**

CONSUMER-BASED SYSTEM AND METHOD FOR MANAGING AND PAYING ELECTRONIC BILLING STATEMENTS

**SYSTEME ET PROCEDE DE GESTION ET DE PAIEMENT DE RELEVES DE FACTURATION
ELECTRONIQUES BASES SUR LE CONSOMMATEUR**

Patent Applicant/Assignee:

MICROSOFT CORPORATION,

Inventor(s):

DENT Warren,
KAETHLER Judy,
REMYINGTON Darren B,
SALIBA Bassam,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9913421 A2 19990318

Application: WO 98US15687 19980728 (PCT/WO US9815687)

Priority Application: US 97926156 19970909

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

CN JP AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

Publication Language: English

Fulltext Word Count: 8110

Fulltext Availability:

Detailed Description

Detailed Description

... instrument. The cash flow analyzer could be automatically linked to financial advisory services, such as **anticipated** in **future** versions of Microsoft **Money** .

After the consumer has analyzed the unpaid bills and decided on a payment schedule, the...

13/3,K/11 (Item 9 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2006 WIPO/Thomson. All rts. reserv.

00424440 **Image available**

METHOD OF PERSONAL FINANCIAL PLANNING

PROCEDE DE PLANIFICATION FINANCIERE PERSONNELLE

Patent Applicant/Assignee:

THE QUANTUM CONSULTANCY GROUP (PROPRIETARY) LIMITED,
IDEA INC,
CORLETT John Broughton,
CORLETT Peter Garth,
MAREE Johann Wilhelm,
MACDOUGALL Basil Hugh,

Inventor(s):

CORLETT John Broughton,
CORLETT Peter Garth,
MAREE Johann Wilhelm,
MACDOUGALL Basil Hugh,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9814902 A1 19980409

Application: WO 97US15358 19970902 (PCT/WO US9715358)

Priority Application: ZA 967373 19960830

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH HU

IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL
PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW GH KE LS MW
SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE
IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 7756

Fulltext Availability:
Detailed Description
Claims

Detailed Description

... rates, annual inflation rates, rates of appreciation or depreciation/increase or decrease of assets, liabilities, **income** and **expenditure**, and **predicted future** values thereof.

The financial model may additionally include data relating to costs associated with the...the funding of cash deficits.

The planning rules database may further include data relating to **predicted future income** and **expenses** of the subject.

Preferably, the data relates to priorities set by the subject for allocating...whom the model is being built, but will typically include the following.

- (a) current and **future income** and **expenses**;
- (b) current and **future assets** and liabilities;
- (c) current and **future** assumptions relating to growth rates, interest rates, **dividend** rates, etc.;
- (d) known or **anticipated** retirement date; and
- (e) estimated date of death.

It can be seen that the model...

Claim

... estate duty rates, annual inflation rates, rates of appreciation or depreciation/increase or decrease of **assets**, liabilities, **income** and **expenditure**, and **predicted future** values thereof.

SUBSTITUTE SHEET (RULE 26)

- 37

3 A method according to claim 2 wherein...

...method according to claim 6 wherein the planning rules database further includes data relating to **predicted future income** and **expenses** of the subject.

8 A method according to claim 7 wherein the data relates to...

?

? show files; ds; save temp;logoff hold
 File 344:Chinese Patents Abs Jan 1985-2006/Jan
 (c) 2006 European Patent Office
 File 347:JAPIO Dec 1976-2005/Dec(Updated 060404)
 (c) 2006 JPO & JAPIO
 File 350:Derwent WPIX 1963-2006/UD=200657
 (c) 2006 The Thomson Corporation

Set	Items	Description
S1	74969	(FUTURE OR NEXT OR TIME OR MONTH?? OR YEAR?? OR ANNUAL?? OR QUARTER?? OR PERIOD?? OR WEEK?? OR COMING OR EXTENDED OR LATER OR SUBSEQUENT??) (7N) (ASSET? ? OR SAVINGS OR PROPERT??? OR - EARNINGS OR INCOME OR RESOURCES OR CAPITAL OR MONEY? ? OR MON-IES OR DIVIDE
S2	9734	S1(7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPEN-S??? OR PAY??? OR PAYMENT? ?)
S3	266	S2(7N) (PREDICT??? OR ANTICIPAT??? OR FORCAST??? OR FORETEL-L??? OR INDICAT??? OR JUDG???)
S4	3539	(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARG-E? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? - OR PAY??? OR PAYMENT? ?) (7N) (FUTURE? ? OR OPTION? ?)
S5	19699	SMOOTH??? (3N) OPERATION
S6	1919	STRIK??? (7N) (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MON-IES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? OR PAY??? OR PAYMENT? ?)
S7	670	AU=(COOPER, P? OR COOPER, P? OR COOPER, L? OR COOPER L? OR MYERS, S? OR MYERS S? OR FORNEY, G? OR FORNEY G? OR SCOTT, L? OR SCOTT L?)
S8	1	S7 AND S3
S9	27	S3 AND S4
S10	4	S9 NOT PY>2000
S11	0	S3 AND S5
S12	0	S3 AND S6
S13	317	S1 AND S4
S14	0	S13 AND S5
S15	11	S3 AND ASSET? ?
S16	6	S15 AND (FUTURE? ? OR OPTION? ?)

8/3,K/1 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2006 The Thomson Corporation. All rts. reserv.

0012327502 - Drawing available
WPI ACC NO: 2002-269384/
XRPX Acc No: N2002-209603

Generating and providing information about expected future prices of assets and visualization of asset information by deriving from data estimate of corresponding implied probability distribution of price of asset at future time

Patent Assignee: COOPER L (COOP-I); COOPER P (COOP-I); LECLAIR R (LECL-I); LI Y (LIYY-I); MYERS S (MYER-I); SHECTMAN B (SHEC-I); THINKING INVESTMENTS INC (THIN-N)

Inventor: COOPER L ; COOPER P; COOPER P A; FORNEY G D ; LECLAIR R; LI Y; MYERS S ; SCOTT L L ; SHECTMAN B

Patent Family (5 patents, 95 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
WO 2002017120	A2	20020228	WO 2001US25753	A	20010817	200231 B
AU 200183425	A	20020304	AU 200183425	A	20010817	200247 E
US 20020152150	A1	20021017	US 2001836484	A	20010417	200270 E
EP 1309925	A1	20030514	EP 2001962229	A	20010817	200333 E
			WO 2001US25753	A	20010817	
JP 2004519753	W	20040702	WO 2001US25753	A	20010817	200443 E
			JP 2002521744	A	20010817	

Priority Applications (no., kind, date): US 2000641589 A 20000818; US 2001836484 A 20010417

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002017120	A2	EN	70	15	
National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW					
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW					
AU 200183425	A	EN			Based on OPI patent WO 2002017120
EP 1309925	A1	EN			PCT Application WO 2001US25753
					Based on OPI patent WO 2002017120
Regional Designated States,Original: AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR					
JP 2004519753	W	JA	139		PCT Application WO 2001US25753
					Based on OPI patent WO 2002017120

Inventor: COOPER L ...

... FORNEY G D ...

... MYERS S ...

... SCOTT L L

Alerting Abstract ...ADVANTAGE - Option prices for a given underlying asset are indicative of the market's **prediction** of the risk-neutral

price of the underlying **asset** in the **future** (e.g., at the expiration of the option). Derives the market's prediction in the...

Original Publication Data by Authority

Inventor name & address:

COOPER, Philip, A ...

... COOPER, Lisette ...

... MYERS, Stuart ...

... FORNEY, G., David, Jr ...

... SCOTT, Leonard, L., Jr ...

... Cooper, Lisette ...

... Cooper, Philip ...

... Myers, Stewart ...

... COOPER, Philip, A ...

... COOPER, Lisette ...

... MYERS, Stuart ...

... FORNEY, G., David, Jr ...

... SCOTT, Leonard, L., Jr

t/3,k/all

10/3,K/1 (Item 1 from file: 347)
DIALOG(R)File 347:JAPIO
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05678204 **Image available**
WORK EVALUATION SYSTEM

PUB. NO.: 09-293004 [JP 9293004 A]
PUBLISHED: November 11, 1997 (19971111)
INVENTOR(s): KUREBAYASHI TOSHIHIKO
YAMASHITA TETSUO
YAMAMOTO FUTAO
OGISO TAKUYA
TAGAWA YUTAKA
YAMANAKA TOSHIYA
GUNJI MEGUMI
NOBA FUMIAKI
APPLICANT(s): HITACHI LTD [000510] (A Japanese Company or Corporation), JP
(Japan)
HITACHI TOHOKU SOFTWARE KK [000000] (A Japanese Company or
Corporation), JP (Japan)
APPL. NO.: 08-106809 [JP 96106809]
FILED: April 26, 1996 (19960426)

ABSTRACT

... is quickly detected, an accident is prevented, not only reliability is enhanced but also the **future** usage **rate** of system **resources** is **predicted** and a long-term investment planning is supported...

10/3,K/2 (Item 2 from file: 347)
DIALOG(R)File 347:JAPIO
(c) 2006 JPO & JAPIO. All rts. reserv.

05331659 **Image available**
AUTOMATIC DESIGNING DEVICE FOR OPTIMUM INSURANCE BASED UPON INDIVIDUAL LIFE PLAN

PUB. NO.: 08-287159 [JP 8287159 A]
PUBLISHED: November 01, 1996 (19961101)
INVENTOR(s): KITAYAMA MASAKAZU
APPLICANT(s): KIYAPITARU ASETSUTO PLANNING KK [000000] (A Japanese Company or Corporation), JP (Japan)
SONY SEIMEI HOKEN KK [000000] (A Japanese Company or Corporation), JP (Japan)
APPL. NO.: 07-108299 [JP 95108299]
FILED: April 07, 1995 (19950407)

ABSTRACT

PURPOSE: To display the best insurance for individuals by calculating **annual income** and **expenditure** balance of the individuals from **future** necessary **expenses**, **future predicted income**, etc., every **year** on the basis of data based on the life styles of the individuals to be...

...data based upon the life styles of the individuals to be insured, annual income and **expenditure** balance of the individuals is calculated from **future** necessary **expenses**, **future predicted income**, etc., to

hatch a life plan, thereby showing the best insurance for the individuals.

10/3,K/3 (Item 3 from file: 347)
DIALOG(R)File 347:JAPIO
(c) 2006 JPO & JAPIO. All rts. reserv.

05182474 **Image available**
FUND OPERATION SUPPORTING DEVICE FOR AUTOMATIC TRANSACTION DEVICE

PUB. NO.: 08-137974 [JP 8137974 A]
PUBLISHED: May 31, 1996 (19960531)
INVENTOR(s): MAEDA SHIGERU
ABE TETSUYA
NAGASHIMA SHIGEKU
KONTA KAZUNOBU
APPLICANT(s): TOSHIBA CORP [000307] (A Japanese Company or Corporation), JP
(Japan)
APPL. NO.: 06-280280 [JP 94280280]
FILED: November 15, 1994 (19941115)

ABSTRACT

... on the experience of a clerk in a conventional system and to compress required fund **money** by **predicting** the required fund **money** in the **future** by using the **time** series data of past transaction information...

10/3,K/4 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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0010285165
WPI ACC NO: 2000-598448/
XRPX Acc No: N2000-443506

Prepayment type proof label for indicating price of e.g. commodity , service displays claim to commodity and future price of commodity at back side

Patent Assignee: KIMURA Y (KIMU-I)
Inventor: KIMURA Y

Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
JP 2000247076	A	20000912	JP 1999100456	A	19990303	200057 B

Priority Applications (no., kind, date): JP 1999100456 A 19990303

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
JP 2000247076	A	JA	2	0	

Prepayment type proof label for indicating price of e.g. commodity , service displays claim to commodity and future price of commodity at back side

...NOVELTY - The label displays a claim to a **commodity** and **future price** of the **commodity** at a back side. The amount of **money** involved is **indicated** at the front side of the label.

?

16/3,K/1 (Item 1 from file: 347)
DIALOG(R)File 347:JAPIO
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07794521 **Image available**

ASSET MANAGEMENT DEVICE, **ASSET** MANAGEMENT METHOD AND CONSIGNMENT
CONTRACT METHOD FOR **ASSET** MANAGEMENT

PUB. NO.: 2003-288474 [JP 2003288474 A]
PUBLISHED: October 10, 2003 (20031010)
INVENTOR(s): KUBO MAKOTO
IWAI MASAMI
KANO YASUHIRO
INUBUSHI HIROYUKI
NISHIMURA MARIKO
UCHIDA YASUSHI
APPLICANT(s): TOSHIBA CORP
APPL. NO.: 2002-089774 [JP 200289774]
FILED: March 27, 2002 (20020327)

ASSET MANAGEMENT DEVICE, **ASSET** MANAGEMENT METHOD AND CONSIGNMENT
CONTRACT METHOD FOR **ASSET** MANAGEMENT

ABSTRACT

... medium and long term profits do not conflict with each other and to efficiently operate **asset** facilities in an **asset** management device utilized for the operation management of the **asset** facilities such as power production facilities and the support.

SOLUTION: Evaluation periods c to k to an from the past c to the **future** n of **asset** management object facilities are set, the management evaluation coefficient X of the set evaluation period...

...the sum of the past profits P_i ($i=c$ to $k-1$) + the sum of **future** predicted profits P_i ($i=k$ to n) + the difference (V_n-V_c) of fixed **asset** values before and after the evaluation period is calculated from a past profit and loss result value and a **future** profit and loss **predicted value** in the evaluation period and the fixed **asset** values of the facilities before and after the evaluation period, and management operation based on it is performed. Thus, even for the **asset** facilities whose features are that a purchase price is high, a payment period is long...

... term profit reflecting the characteristics of the facilities is determined and the operation of the **asset** facilities is managed.

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16/3,K/2 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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0015471858 - Drawing available
WPI ACC NO: 2005-809645/200582
XRPX Acc No: N2005-671338

Corporate enterprise's value determining method, involves estimating value of corporation that represents corporation's anticipated future net cash flows and distributable earnings to be derived from new customers

Patent Assignee: FICKES S W (FICK-I)

Inventor: FICKES S W

Patent Family (1 patents, 1 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
US 20050262014	A1	20051124	US 2002364328	P	20020315	200582 B
			US 2003387412	A	20030314	

Priority Applications (no., kind, date): US 2002364328 P 20020315; US 2003387412 A 20030314

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20050262014	A1	EN	43	21	Related to Provisional US 2002364328

Corporate enterprise's value determining method, involves estimating value of corporation that represents corporation's anticipated future net cash flows and distributable earnings to be derived from new customers
...NOVELTY - The method involves estimating a value of a corporation that represents corporation's anticipated **future** net cash flows and distributable earnings to be derived from new customers, based upon assumptions...

Title Terms.../Index Terms/Additional Words: **FUTURE** ;

Original Publication Data by Authority

Claims:

...by determining a corporations' liquidity or adjusted net worth value through elimination of balance sheet **assets** representing non-liquid or non-marketable **assets** ;estimating a Category II Value of the corporation that represents **future** cash flows or distributable earnings from the corporation's existing customer base, by making assumptions as to a likelihood of occurrence of **future** events;estimating a Category III value of the corporation that represents the corporation's anticipated **future** net cash flows or distributable earnings to be derived from new customers which the corporation will likely have in the **future** , with such estimates being based upon series of assumptions developed based upon historical financial data...

16/3,K/3 (Item 2 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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0012686185 - Drawing available

WPI ACC NO: 2002-536869/

XRPX Acc No: N2002-425190

Asset trading method involves recalculating density function to find probability distribution of future value of asset , based on which trading decision is made

Patent Assignee: INT BUSINESS MACHINES CORP (IBMC)

Inventor: BACHELIS B; SHLAFMAN S

Patent Family (1 patents, 1 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
US 20020065755	A1	20020530	US 2000202793	P	20000509	200257 B
			US 2001768697	A	20010123	

Priority Applications (no., kind, date): US 2000202793 P 20000509; US 2001768697 A 20010123

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20020065755	A1	EN	15	6	Related to Provisional US 2000202793

Asset trading method involves recalculating density function to find probability distribution of future value of asset , based on which trading decision is made

Alerting Abstract ...NOVELTY - A trend **predictive** of a **future value** of an **asset** is determined. A density function **indicating** probability distribution of the value is obtained based on the determined trend and predicted variance. The density function is recalculated to find probability distribution of the **future value**. A trading decision is made, based on the recalculated density function....Apparatus for trading in derivative of underlying **asset** ; and Computer software product for use in trading in derivative of underlying **asset** .

...

...USE - For trading in financial derivative of underlying **asset** such as shares or bonds...

...ADVANTAGE - Expected value and risks of the **assets** are easily obtained by determining the probability distribution. The density function is determined accurately with...

...comparison and optimal choice to be made among different instruments, based on the same underlying **asset** .

...

...OF DRAWINGS - The figure shows the flowchart explaining the method for finding probability distribution of **future value** of **asset** .

Title Terms.../Index Terms/Additional Words: **FUTURE** ;

Original Publication Data by Authority

Original Abstracts:

A method for trading in a financial derivative of an underlying **asset** includes determining a trend **predictive** of a **future value** of the **asset** and a **predicted** variance of the **future value** . Responsive to the trend and the variance, a density function is calculated, which is indicative of a probability distribution of the value at a first time in the **future** . Based on the density function at the first time, the density function is recalculated to...

...first time, and a trading decision is made with regard to the derivative of the **asset** based on the density function.

Claims:

b 1 /b . A method for trading in a financial derivative of an underlying **asset** , comprising: determining a trend **predictive** of a **future value** of the **asset** and a **predicted** variance of the **future value** ; responsive to the trend and the variance, calculating a density function indicative of a probability distribution of the value at a first

time in the **future** ; based on the density function at the first time, recalculating the density function to find...

...the first time; and making a trading decision with regard to the derivative of the **asset** based on the density function.

16/3,K/4 (Item 3 from file: 350)
DIALOG(R) File 350:Derwent WPIX
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0012327502 - Drawing available
WPI ACC NO: 2002-269384/
XRPX Acc No: N2002-209603

Generating and providing information about expected future prices of assets and visualization of asset information by deriving from data estimate of corresponding implied probability distribution of price of asset at future time

Patent Assignee: COOPER L (COOP-I); COOPER P (COOP-I); LECLAIR R (LECL-I); LI Y (LIYY-I); MYERS S (MYER-I); SHECTMAN B (SHEC-I); THINKING INVESTMENTS INC (THIN-N)

Inventor: COOPER L; COOPER P; COOPER P A; FORNEY G D; LECLAIR R; LI Y; MYERS S; SCOTT L L; SHECTMAN B

Patent Family (5 patents, 95 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2002017120	A2	20020228	WO 2001US25753	A	20010817	200231 B
AU 200183425	A	20020304	AU 200183425	A	20010817	200247 E
US 20020152150	A1	20021017	US 2001836484	A	20010417	200270 E
EP 1309925	A1	20030514	EP 2001962229	A	20010817	200333 E
			WO 2001US25753	A	20010817	
JP 2004519753	W	20040702	WO 2001US25753	A	20010817	200443 E
			JP 2002521744	A	20010817	

Priority Applications (no., kind, date): US 2000641589 A 20000818; US 2001836484 A 20010417

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002017120	A2	EN	70	15	
National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW					
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW					
AU 200183425	A	EN			Based on OPI patent WO 2002017120
EP 1309925	A1	EN			PCT Application WO 2001US25753
					Based on OPI patent WO 2002017120
Regional Designated States,Original: AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR					
JP 2004519753	W	JA	139		PCT Application WO 2001US25753
					Based on OPI patent WO 2002017120

Generating and providing information about expected future prices of assets and visualization of asset information by deriving from data estimate of corresponding implied probability distribution of price of asset at future time

Original Titles:

...GENERATING AND PROVIDING INFORMATION ABOUT EXPECTED **FUTURE** PRICES OF **ASSETS** AND VISUALIZATION OF **ASSET** INFORMATION...

...Visualization of **asset** information...

...GENERATING AND PROVIDING INFORMATION ABOUT EXPECTED **FUTURE** PRICES OF **ASSETS** AND VISUALIZATION OF **ASSET** INFORMATION...

Alerting Abstract ...NOVELTY - The method involves receiving data representing current prices of **options** on a given **asset** for deriving from the data an estimate of a corresponding implied probability distribution of the price of the **asset** at a **future** time. Information about the probability distribution is made available within a time frame that is...

...USE - For generating and providing information about expected **future** prices of **assets** , and to visualization of **asset** information...

...ADVANTAGE - **Option** prices for a given underlying **asset** are indicative of the market's **prediction** of the risk-neutral **price** of the underlying **asset** in the **future** (e.g., at the expiration of the **option**). Derives the market's prediction in the form of an implied probability distribution of **future** risk-neutral prices. The implied probability distribution and other information related to it may be...

...whom the information may be useful, such as those considering an investment in the underlying **asset** , or a brokerage firm advising such an investor...

...DESCRIPTION OF DRAWINGS - The drawing shows a graph related to information about expected **future** prices of **assets** according to the present invention.

Title Terms.../Index Terms/Additional Words: **FUTURE** ;

Original Publication Data by Authority

Original Abstracts:

Visualization techniques enable information associated with **assets** to be easily visualized and understood.

Claims:

...arranged around a center of the element, the sectors respectively corresponding to different groups of **assets** , in each of the sectors, displaying an array of visual elements representative of respective **assets** belonging to the group to which the sector corresponds, the visual elements being arrayed with respect to distance from the center in accordance with magnitudes of performance of the **assets** during a recent period.

16/3,K/5 (Item 4 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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0011215994 - Drawing available
WPI ACC NO: 2002-155058/200220

XRPX Acc No: N2002-117849

Method for supporting financial transaction such as investments of pension funds and other institutional investors by calculating of cash value of at least one inflation correction value for coupon value CV in year i

Patent Assignee: FISZBAJN J R (FISZ-I); SNIJDERS R E (SNIJ-I)

Inventor: FISZBAJN J R; SNIJDERS R E

Patent Family (4 patents, 92 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2002007033	A2	20020124	WO 2000NL499	A	20000717	200220 B
AU 200060296	A	20020130	AU 200060296	A	20000717	200236 E
			WO 2000NL499	A	20000717	
US 20060116948	A1	20060601	WO 2000NL499	A	20000717	200637 E
			US 2001890987	A	20010827	
			US 2006330781	A	20060112	
US 7099844	B1	20060829	WO 2000NL499	A	20000717	200657 E
			US 2001890987	A	20010827	

Priority Applications (no., kind, date): WO 2000NL499 A 20000717

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002007033	A2	EN	58	16	
National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW					
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW					
AU 200060296	A	EN			PCT Application WO 2000NL499 Based on OPI patent WO 2002007033
US 20060116948	A1	EN			Division of application WO 2000NL499 Division of application US 2001890987
US 7099844	B1	EN			PCT Application WO 2000NL499 Based on OPI patent WO 2002007033

...value CV in year i is calculated making use of the coupon value CV, the **future** index data Ii and the interest rates inti. A purchase price may be presented to...

Original Publication Data by Authority

Original Abstracts:

...value CV in a year i making use of the coupon value CV and of **future** index data Ii;(b) calculation of a cash value of the at least one inflation ...

...the coupon value CV in year i making use of the coupon value CV, the **future** index data Ii and the interest rates inti; (c) presentation of a purchase price to...

...value CV in a year i making use of the coupon value CV and of **future** index data Ii;(b) calculation of a cash value of the at least one inflation ...

...the coupon value CV in year i making use of the coupon value CV, the

future index data Ii and the interest rates inti;(c) presentation of a purchase price to...

Claims:

...after the computer program product has been loaded on the computer installation: a) storage of **future** index data Ii, where i=1, 2, . . . , x, . . . , in the at least one memory, each **future** index Ii being defined as the **anticipated** factor by which, in a **year** i, **goods** will have become more **expensive** as a consequence of inflation, compared with a predetermined start year;b) storage of **future** interest rates inti, where i=1, 2, . . . , x, . . . , in the at least one memory, each...

...value being a value of money, for which a user wants to be covered against **future** inflation;d) calculation of at least one **future** annual inflation value infi, where i=1, 2, . . . , x, . . . , for the coupon value CV in year i making use of the coupon value CV and of the **future** index data Ii;e) calculation of a cash value of the at least one **future** annual inflation value infi for the coupon value CV in year i making use of the coupon value CV, the **future** index data Ii and the interest rates inti;f) presentation of a purchase price to the user at which the at least one **future** annual inflation value infi for the coupon value CV, or a portion thereof, can be...

...andg) the program enables the processor to track trading in the at least one **future** annual inflation value;wherein the at least one **future** annual inflation value comprises an **asset**.

16/3,K/6 (Item 5 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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0010863987

WPI ACC NO: 2001-483059/

XRPX Acc No: N2001-357585

Computerized knowledge capital determination, for company financial managers and accountants, that enables a company to evaluate its performance and predict growth

Patent Assignee: BARUCH L I (BARU-I)

Inventor: BARUCH L I

Patent Family (2 patents, 91 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
WO 2001048663	A2	20010705	WO 2000US35273	A	20001227	200152 B
AU 200124565	A	20010709	AU 200124565	A	20001227	200164 E

Priority Applications (no., kind, date): US 1999472505 A 19991227

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
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WO 2001048663	A2	EN	27	5		
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National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200124565	A	EN			Based on OPI patent	WO 2001048663
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...is used to calculate a company's annual normalized earnings from the company's tangible **asset** earnings and financial **asset** earnings. The company's knowledge **asset** earnings are determined by removing the tangible and financial **asset** earnings from the annual normalized earnings. Prediction of **future** knowledge **asset** earnings is extrapolated from the knowledge **asset** earnings using long-term growth prospects. The company's knowledge capital is then determined by applying a **capital** discount **rate** to the **predicted future** knowledge **asset** earnings .

Original Publication Data by Authority

Original Abstracts:

...and system for determining knowledge capital includes the steps of determining a company's tangible **asset** earnings and financial **asset** earnings, and calculating the company's annual normalized earnings. The company's knowledge **asset** earnings are calculated by removing the tangible and financial **asset** earnings from the annual normalized earnings, the company's **future** knowledge **asset** earnings are predicted by extrapolating from the calculated knowledge **asset** earnings using long-term growth prospects of the company, and the company's knowledge capital is calculated by applying a capital discount rate to the predicted knowledge **asset** earnings...

?